## Yaguo Wang Bo Qiu

School of Mechanical Engineering and Birck Nanotechnology Center, Purdue University, West Lafayette, IN 47907

### Alan J. H. McGaughey

Department of Mechanical Engineering, Carnegie Mellon University, Pittsburgh, PA 15213

## Xiulin Ruan Xianfan Xu

e-mail: xxu@ecn.purdue.edu

School of Mechanical Engineering and Birck Nanotechnology Center, Purdue University, West Lafayette, IN 47907

# Mode-Wise Thermal Conductivity of Bismuth Telluride

Thermal properties and transport control are important for many applications, for example, low thermal conductivity is desirable for thermoelectrics. Knowledge of modewise phonon properties is crucial to identify dominant phonon modes for thermal transport and to design effective phonon barriers for thermal transport control. In this paper, we adopt time-domain (TD) and frequency-domain (FD) normal-mode analyses to investigate mode-wise phonon properties and to calculate phonon dispersion relations and phonon relaxation times in bismuth telluride. Our simulation results agree with the previously reported data obtained from ultrafast time-resolved measurements. By combining frequency-dependent anharmonic phonon group velocities and lifetimes, mode-wise thermal conductivities are predicted to reveal the contributions of heat carriers with different wavelengths and polarizations. [DOI: 10.1115/1.4024356]

Keywords: phonon dispersion, phonon lifetime, bismuth telluride, thermal conductivity

#### 1 Introduction

Lattice thermal conductivity is associated with phonon transport [1]. For many applications, thermal transport properties and thermal transport control are important, for example, low thermal conductivity is desirable for thermoelectrics. To tailor lattice thermal conductivity effectively, a detailed understanding of mode-wise phonon properties is necessary. Because of limitations of experimental techniques, which only detect several specific phonon modes, numerical approaches are needed to obtain a complete picture of phonon dynamics [2]. As such, dominant phonon modes for thermal transport can be identified and various phonon barriers can be designed to tailor thermal transport properties [3].

For bismuth telluride (Bi<sub>2</sub>Te<sub>3</sub>), a widely used thermoelectric material, phonon dynamics of a number of phonon modes has been studied in experiments using ultrafast time-resolved techniques [4–6]. Although molecular dynamics (MD) simulations have been performed to predict lattice thermal conductivity [7,8], no detailed theoretical work has been performed to reveal mode-wise phonon relaxation times and mode-wise thermal conductivity in bismuth telluride.

In this study, we adopt two-body interatomic potentials to study the anharmonic mode-wise phonon properties of bulk  $Bi_2Te_3$  using TD and FD normal-mode analysis (NMA). The predicted phonon velocities and phonon life times at a number of modes in  $Bi_2Te_3$  are compared with experimental results. Our calculations also yield mode-wise lattice thermal conductivity, which is helpful for analyzing thermal transport in nanostructured materials.

#### 2 Numerical Methods

MD is a powerful tool for studying details of phonon dynamics. A requirement for MD studies is a suitable potential function that describes interatomic interactions. Bulk  $Bi_2Te_3$  has a rhombohedral primitive cell belonging to the space group  $R\bar{3}m$ . At room temperature, the corresponding conventional cell is hexagonal,

consisting of periodic fivefold layer along the *c*-axis: Te<sub>1</sub>–Bi–Te<sub>2</sub>–Bi–Te<sub>1</sub>. The bonding force is covalent within the fivefold layer and van der Waals between the layers [7]. The nearest-neighbor distances between atoms in different monatomic layers are 3.04 Å for Te<sub>1</sub>–Bi bond, 3.24 Å for Te<sub>2</sub>–Bi bond, and 3.72 Å for Te<sub>1</sub>–Te<sub>1</sub> bond [9]. Huang and Kaviany developed a set of three-body potentials for bulk Bi<sub>2</sub>Te<sub>3</sub> [7]. Even though the properties predicted by this 24-parameter-potential agree well with experimental results, the computation cost is prohibitive to implement it into MD simulations. Qiu and Ruan developed two-body potentials using density-functional theory calculations [8], which we use here. The lattice thermal conductivities predicted from this potential agree with experimental results between temperatures of 150 to 500 K [8]. The two-body potentials are expressed as follows:

$$U_{ij} = U_{ij}^{s} + \frac{q_{i}q_{j}}{r_{ij}}$$

$$= D_{e} \left\{ \left[ 1 - \exp[-\sigma(r_{ij} - r^{0})] \right]^{2} \right\} + \frac{q_{i}q_{j}}{r_{ii}}$$
(1)

Here, the short-range potential  $U_{ij}^s$  takes the Morse form, where  $D_{\rm e}$  corresponds to the depth of potential well,  $r^0$  denotes the equilibrium bond distance, and  $\sigma$  is the bond elasticity. The parameters for the short-range potentials are listed in Table 1. Only the nearest-neighbor interactions are considered in this set of potentials and  $r_{\rm c}$  represents the cut-off distance for each pair of atoms. To evaluate the long-range Coulomb interaction effectively, Wolf's summation [10] was applied with a cut-off radius of 11.28 Å.  $q_i$  and  $q_j$  the last terms in Eq. (1) are the effective charges of the ions, which are 0.38, -0.26, and -0.24 for Bi, Te<sub>1</sub>, and Te<sub>2</sub>, respectively [8].

The lattice thermal conductivity  $\kappa_L$  is the sum over the contributions from all phonon modes in the first Brillouin zone,  $\kappa_j$  for mode j, called mode-wise thermal conductivity [11]

$$\kappa_{\rm L} = \sum_{j} \kappa_{j} = \sum_{\alpha} \int C_{\rm V} v_{\rm g}^{2} \tau d\vec{k}$$
 (2)

Journal of Heat Transfer

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SEPTEMBER 2013, Vol. 135 / 091102-1

Contributed by the Heat Transfer Division of ASME for publication in the JOURNAL OF HEAT TRANSFER. Manuscript received June 10, 2012; final manuscript received November 2, 2012; published online July 26, 2013. Assoc. Editor: Pamela M November 2, 2012; published online July 26, 2013.

Table 1 Parameters of short-range potential [8]

Bond	D <sub>e</sub> (eV)	σ (1/Å)	$r^0$ (Å)	<i>r</i> <sub>c</sub> (Å)
Bi–Bi	0.085	2.212	4.203	5.5
Bi-Te <sub>1</sub>	0.975	1.285	3.089	4.0
Bi-Te <sub>2</sub>	0.582	1.257	3.251	4.0
Te <sub>1</sub> -Te <sub>1</sub>	0.076	1.675	3.642	5.0
Te <sub>1</sub> -Te <sub>2</sub>	0.807	0.731	4.497	5.5
Te <sub>2</sub> -Te <sub>2</sub>	0.066	2.876	4.312	5.0

where  $\alpha$  corresponds to the mode polarization (LA, TA, LO, and TO). As shown in Eq. (2), the three components of  $\kappa_i$  include mode-wise volumetric heat capacity  $C_{\rm V}$ , phonon velocity  $v_{\rm g}$ , and phonon lifetime τ. Since Bi<sub>2</sub>Te<sub>3</sub> has a relatively low Debye temperature, 155 K, we can simply use the high temperature limit  $C_{\rm V} = k_{\rm B}/V$  to estimate thermal conductivity at 300 K, where  $k_{\rm B}$  is the Boltzmann constant where V is the volume of the MD simulation cell. The second component anharmonic phonon velocity is the gradient of anharmonic phonon dispersion,  $v = \partial \omega / \partial k$ , where k is the phonon wave vector and  $\omega$  is the phonon angular frequency. The third component is the anharmonic phonon lifetime. Hence, in determining the mode-wise thermal conductivity  $\kappa_i$ , two key quantities need to be calculated: the anharmonic phonon dispersion and the phonon lifetime. In what follows, two numerical approaches-TD-NMA and FD-NMA will be described for predicting the anharmonic phonon dispersion and the phonon lifetime in bulk Bi<sub>2</sub>Te<sub>3</sub>.

**2.1 TD-NMA** for Computing Anharmonic Phonon Dispersion and Phonon Lifetimes. The time-domain normal-mode analysis is based on lattice dynamics (LD). The essential part of LD is to solve the equations of motion of the lattice which is represented in the form of a dynamical matrix. The eigenvectors of the dynamical matrix are the polarization vectors of atomic motions and the square roots of corresponding eigenvalues are phonon frequencies. The wave-like solutions of LD represent uncoupled motions of orthogonal oscillators, called normal modes. Any harmonic motion can be exactly expressed as a superposition of normal modes. Harmonic displacement of individual atoms can be written as the summation of all of the normal modes [12]

$$\hat{d}_{i}(t) = N^{1/2} \sum_{\vec{k}, \alpha} S(\alpha, \vec{k}) \vec{\varepsilon}(\alpha, \vec{k}) \exp\left[i(\vec{k} \cdot \vec{r}_{i}^{0} - \omega(\alpha, \vec{k})t)\right]$$
(3)

where S denotes the normal-mode amplitude and  $\bar{\epsilon}$  is the polarization vector associated with the direction of motions.  $\bar{r}_i^0$  is the equilibrium position of each atom denoted by i. Conversely, the amplitude of every normal mode can be written as the summation of displacements of all atoms

$$S_{j}(\alpha, \vec{k}) = N^{-1/2} \sum_{i} m_{i}^{\frac{1}{2}} \exp(-i\vec{k} \cdot \vec{r}_{i}^{0}) \vec{\varepsilon}_{j}^{*}(\alpha, \vec{k}) \cdot \hat{d}_{i}$$
 (4)

where \* denotes the complex conjugate. The harmonic angular frequency  $\omega$  and polarization vector  $\vec{\epsilon}$  are computed through diagonalizing the dynamical matrix in LD using the software GULP [13].  $\vec{k}$  is specified based on the crystal structure and the size of the MD domain. m is the atomic mass, 208.98 for Bi and 127.60 for Te.  $\hat{d}_i = \vec{r}_i - \vec{r}_i^0$  represents the displacement of atom i from its equilibrium position  $\vec{r}_i^0$ . The equilibrium position  $\vec{r}_i^0$  and displacement  $\hat{d}_i$  are computed from MD using the potential function described in Eq. (1).

The total energy of an individual mode under harmonic approximation is computed as

$$E_{j}(t) = E_{j,P} + E_{j,K} = \frac{\omega_{j}^{2} \hat{S}_{j}^{*} \hat{S}_{j}}{2} + \frac{\hat{S}_{j}^{*} \hat{S}_{j}}{2}$$
 (5)

where  $E_{j,\mathrm{P}}$  is the potential energy,  $E_{j,\mathrm{K}}$  is the kinetic energy,  $\omega_j$  is the quasi-harmonic angular frequency, and "·" indicates derivative. The anharmonic phonon frequency is half of the oscillating frequency of autocorrelation function of mode-wise potential energy or kinetic energy [14]. Therefore, for every specified wave vector, the corresponding anharmonic phonon frequency at finite temperatures can be extracted from the oscillations of autocorrelation of mode-wise potential/kinetic energy obtained from Eq. (5). Applying this to all of the sampled wave vectors in the first Brillouin zone, anharmonic phonon dispersion curves can be constructed.

A number of studies have investigated phonon lifetimes. The decay of the autocorrelation of the total energy of argon was used to deduce the effective phonon lifetimes [15]. Henry and Chen [2] employed the definition of Ladd et al. [16] to extract phonon lifetime of silicon. For bismuth telluride, it is not trivial to perform exponential fitting of the autocorrelation of the total energy due to residual oscillation. Also, the phonon lifetimes defined by Ladd et al. are not easy to converge because the numerical errors overwhelm the actual phonon signal at longer time. Therefore, as depicted in Fig. 1, we obtain the phonon relaxation time via fitting a time constant of the integrated autocorrelation of potential energy.

Figure 1 also shows the oscillations of the autocorrelation function of mode-wise potential energy, which has a frequency twice of the corresponding anharmonic phonon frequency. In a sense, NMA bridges the real space analysis and the phonon space analysis by mapping the anharmonic information obtained in MD (atomic displacement) to the phonon space with normal-mode amplitude *S*.

**2.2 FD-NMA.** An alternative approach is the frequency-domain normal-mode analysis. According to Ladd et al. [16], the normal-mode amplitude can be written under the single mode relaxation time approximation as

$$S_i(\alpha, \vec{k}) = S_{i,0}(\alpha, \vec{k}) e^{-i(\omega(\alpha, \vec{k}) - i\Gamma(\alpha, \vec{k}))t}$$
(6)

where the phonon spectral linewidth  $\Gamma$  is related to phonon lifetime  $\tau$  as follows:

$$\tau = 1/2\Gamma \tag{7}$$

Then, the Fourier transform of the time derivative of normal-mode amplitude as given in Eq. (6) is

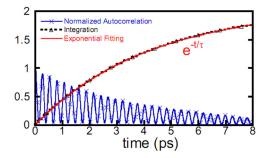


Fig. 1 Normalized autocorrelation of phonon potential energy, integration of the autocorrelation, and the exponential fitting to deduce phonon relaxation time

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$$F[\dot{S}_{j}(\alpha, \vec{k})] = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} S_{j,0}(\alpha, \vec{k}) (-i\omega(\alpha, \vec{k}) - \Gamma(\alpha, \vec{k}))$$
$$\times e^{-i(\omega(\alpha, \vec{k}) - \omega)t} e^{-\Gamma(\alpha, \vec{k})t} dt \tag{8}$$

If we define the spectral energy density (SED) function as the norm square of Eq. (8), then it can be shown that the SED function is in the Lorentzian form [17]

$$\psi(\alpha, \vec{k}, f) \equiv \left| F[\dot{S}_j(\alpha, \vec{k})] \right|^2 = \frac{C(\alpha, \vec{k})}{\left[ 4\pi\tau(\alpha, \vec{k})(f - f(\alpha, \vec{k}))^2 + 1 \right]} \tag{9}$$

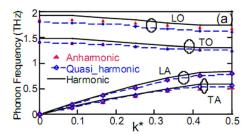
Here  $C(\alpha, \vec{k})$  is the combination of coefficients which characterizes the phonon spectral peak intensity and  $f = \omega/2\pi$  is the phonon frequency. Based on the time history of atomic velocities generated by MD simulations and eigen-displacements from LD calculations, the normal-mode coordinates can be obtained according to Eq. (6). Then, the SED function can be constructed and fitted with Eq. (9) to extract the anharmonic phonon frequency  $f(\alpha, \vec{k})$  and lifetime  $\tau(\alpha, \vec{k})$ .

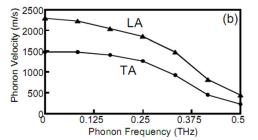
It should be emphasized that for both the time-domain and frequency-domain NMA, not all of the  $\bar{k}$  vectors are allowed in a specific MD domain due to the periodicity requirements. Only those  $\bar{k}$  vectors in the first Brillouin zone associated with the chosen unit cell satisfying  $\mathrm{e}^{-i\bar{k}\cdot\bar{r}}=1$  can be supported by the MD domain and thus resolved. Here,  $\bar{r}=\sum_{i=1}^3 n_i \mathbf{A}_i$ , where  $\mathbf{A}_i$  is the length vector of the MD domain in direction i. As a result, thermal conductivity contributions from phonons with very long wavelength, which are near zone center phonons, are excluded. The exclusion of these modes may lead to domain size effects. Nonetheless, a reasonably sized simulation domain should preserve the validness of at least the qualitative description of physical processes.

#### 3 Results and Discussion

3.1 Anharmonic Phonon Dispersion and Phonon Velocity. LD calculations are first performed to produce harmonic phonon dispersion and polarization vectors of atomic motions, through diagonalizing dynamical matrix using GULP. A single rhombohedral primitive cell is computed in GULP and 13 k points are sampled between 0 and  $\pi/a$  along the  $\Gamma$ -Z direction. ais the lattice parameter, 10.478 Å for the rhombohedral unit cell. MD simulation is then conducted to compute equilibrium positions and displacements of all of the atoms. At every MD step, normal-mode amplitude  $S_i$  is calculated according to Eq. (4), combining information of wave vectors and polarization vectors. The MD simulation domains contain 6, 9, and 12 rhombohedral unit cells along each direction of three primitive vectors, with periodic boundaries conditions. Starting with a pre-equilibrated sample, MD runs in NVE (constant atom number, volume and total energy) ensemble for 400 ps to calculate equilibrium positions of all of the atoms and then another 5 ns to compute normal-mode amplitudes. For every phonon branch, normal-mode amplitudes of each phonon mode will be output at each MD step and stored in a file for post analysis.

Following the method described in Sec. 2.1, the anharmonic phonon dispersion curves at  $300 \, \text{K}$  are constructed through TD-NMA, marked as red dots in Fig. 2(a), where 6 out of 15 phonon branches are shown, along the  $\Gamma$ -Z direction. Compared with harmonic phonons calculated with LD, shown as closed dots, anharmonic phonons at  $300 \, \text{K}$  are softened and hence the phonon dispersion curve is flattened due to anharmonicity. Anharmonicity at finite temperatures comes from two aspects: lattice expansion on temperature rise and anharmonic interaction among atoms. To evaluate contribution to anharmonicity solely from lattice expansion around  $300 \, \text{K}$ , a quasi-harmonic case is evaluated, for which LD calculation is conducted in GULP but with the lattice constants





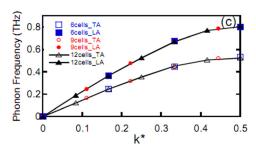


Fig. 2 (a) Dispersion curves of longitudinal and transverse acoustic phonons. Solid lines: harmonic LD results (0 K); dashed lines with open diamonds: quasi-harmonic results; solid triangles: anharmonic NMA results (300 K); (b) Velocity of longitudinal and transverse acoustic phonons. (c) Anharmonic phonon dispersion computed with different sample sizes.

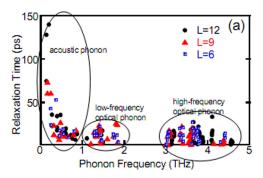
of 300 K. Quasi-harmonic results, shown as blue lines in Fig. 2(*a*), indicate that the anharmonicity at 300 K mainly comes from lattice expansion.

The predicted phonon frequency of the LO phonon at  $\Gamma$  point is about 1.85 THz, agreeing well with the experimental result 1.86 THz [5]. Figure 2(c) gives the anharmonic dispersion curves of acoustic phonons computed with different sample sizes, indicating that the size effect is negligible. Phonon velocities are obtained by calculating the slope of phonon dispersion curves. The predicted sound velocity with the TD-NMA is about 2300 m/s for Bi<sub>2</sub>Te<sub>3</sub>, about 10% smaller than that measured in pump-probe experiments,  $\sim$ 2600 m/s [4].

3.2 Phonon Lifetime. Figure 3(a) illustrates lifetimes of acoustic and optical phonons for both longitudinal and transverse polarizations along  $\Gamma$ -Z direction at 300 K, predicted by the TD-NMA. Three regions are marked in Fig. 3(a) as acoustic phonons, low-frequency optical phonons, and high-frequency optical phonons. The phonon lifetimes increase when the wave vector becomes smaller (wavelength becomes longer). The optical phonon lifetimes are about the same order as those acoustic phonons near the edge of the Brillouin zone. No obvious size effect other than uncertainty is observed in phonon lifetimes when computing with different sample sizes. It is also noted that the lifetimes of acoustic phonons generally exhibit power law dependence on phonon frequencies as  $\tau \propto f^{-2}$ , as predicted by Klemens [18]. Figure 3(b) plots the fitting for LA phonons, including results from all three simulations domains to obtain sufficient number of

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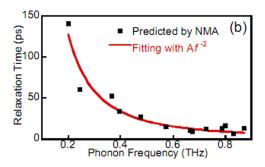


Fig. 3 (a) Lifetimes of phonons along the  $\Gamma$ -Z direction computed using TD-NMA, L represents the number of cells along c-axis. (b) Lifetimes of low-frequency acoustic phonons along  $\Gamma$ -Z direction and their power law fittings.

 $\bar{k}$  points near the  $\Gamma$  point. The longitudinal acoustic phonons detected in experiments reported previously [4] have a wavelength of about 125 nm, corresponding to a wave vector about 0.05 nm<sup>-1</sup> and a frequency of 0.016 THz. To access phonons with wavelength as long as 125 nm, a simulation domain larger than 120 unit cells along the c-axis (more than 48,600 atoms) is required, which poses computational challenges. Therefore, instead of direct computation, the lifetimes of acoustic phonons with long wavelength/low wave vectors can be extracted from the power law fitting. The lifetimes of the 125 nm-phonon extrapolated from the TD-NMA are 16.9 ns, which is consistent with experimental measurements [4], where the 125 nm phonon does not show obvious decay when traveling for about 400 ps in Bi<sub>2</sub>Te<sub>3</sub>.

The lifetime of the  $A_{1g}$  optical phonon at the  $\Gamma$  point predicted by TD-NMA is 4.2 ps, following the same approach of calculating the lifetimes of acoustic phonons. Alternatively, by using the FD-NMA at the  $\Gamma$  point and fitting the spectral peak of  $A_{1g}$  optical phonon mode to Eq. (9), the corresponding lifetime is found to be 5.6 ps. These predicted lifetimes agree with the experimental result (5.3 ps) obtained using ultrafast time-resolved measurements [5].

**3.3** Cross-Plane Lattice Thermal Conductivity. A complete set of mode-wise lattice thermal conductivity requires multiple discrete points in the first Brillouin zone, outlined by primary symmetry directions. This would involve a large amount of calculations for  $\mathrm{Bi_2Te_3}$  because of its complex Brillouin zone and proper simplifications are sought. Because of the large aspect ratio of in-plane and cross-plane lattice constants, the first Brillouin zone of  $\mathrm{Bi_2Te_3}$  has a disk-like shape. If isotropic phonon dispersion in the in-plane radial direction is assumed and with the volume of the first Brillouin zone roughly preserved, the lattice thermal conductivity can be expressed as follows:

$$\kappa_{\rm L}^z = \frac{1}{2\pi^2} \sum_{\alpha} \int_0^{k_{z,\rm max}} \int_0^{k_{z,\rm max}} c_{\rm V} v_{{\rm g},z}^2(k_x,k_z) \tau(k_x,k_z) k_x dk_x dk_z \qquad (10)$$

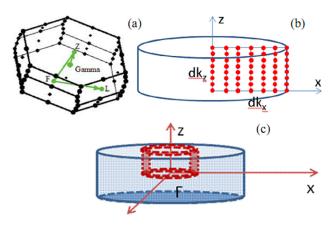


Fig. 4 (a) The Brillouin zone of  $\mathrm{Bi_2Te_3}$ . (b) Approximation of the Brillouin zone with a cylindrical disk and the corresponding discretized k-grid in the Z- $\Gamma$ -X plane. (c) Integration of the whole cylindrical disk to estimate the total thermal conductivity.

where the double integration of  $k_x$ ,  $k_z$  goes up to the Brillouin zone boundaries  $k_{x,\text{max}}$ ,  $k_{z,\text{max}}$  in each direction. Under this approximation, the Brillouin zone is effectively approximated as a cylindrical disk, as shown in Fig. 4(a).

The discretized k-grid is illustrated in Fig. 4(b). Therefore, according to Eq. (10), the thermal conductivity is evaluated as a sum of contributions from rings with radius equals to  $k_x$ , thickness equals to  $\Delta k_x$ , and height equals the Brillouin zone thickness in the z direction, as illustrated in Fig. 4(c). Due to the finite size of the simulation domain, thermal conductivity contributions from phonons with very long effective wavelength in x direction are excluded. The exclusion of these modes will lead to domain size effects in thermal conductivity prediction.

The size effect is tested using three simulation domains  $6 \times 4 \times 4$ ,  $12 \times 8 \times 4$ , and  $24 \times 4 \times 4$  within the FD-NMA. It is also found that the phonon relaxation times are not significantly affected by the different domain sizes, indicating they are wellconverged. As suggested by Turney et al. [15] and Schelling et al. [19], the inverse of the thermal conductivity is linearly proportional to the system size. In the present study, due to the use of isotropic approximation, we expect the inverse of thermal conductivity to be linearly proportional to the inverse of the dimension in x direction. As seen in Fig. 5, the data do show good linear correlation and the inverse of the y-intercept of the linear fitting gives the cross-plane lattice thermal conductivity of bulk Bi<sub>2</sub>Te<sub>3</sub>. The extrapolated value is 0.85 W/(mK), which does agree well with the value of 0.89 W/(mK) predicted using Green-Kubo's method and the same two-body classical potentials in our earlier work [8]. Using the same approach, the extrapolated lattice thermal conductivity from TD-NMA is 0.93 W/(mK).

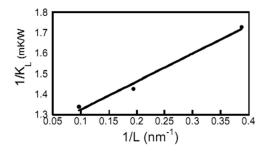


Fig. 5 Inverse of lattice thermal conductivity obtained based on Eq. (10) as a function of the inverse of simulation domain length L in x direction. The straight line is the linear fit for extrapolation.

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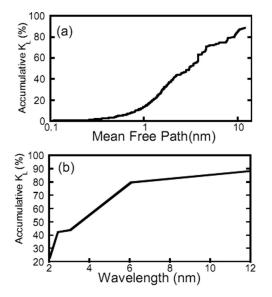


Fig. 6 Percentage of accumulative thermal conductivity (a) with respect to phonon mean free path and (b) with respect to phonon wavelength

Figure 6(a) shows the contributions to the lattice thermal conductivity with respect to phonon mean free path, computed with the  $12 \times 12 \times 12$  simulation domain in TD-NMA. Phonons with mean free path between 1 and 10 nm comprise about 80% of the total lattice thermal conductivity. Figure 6(b) shows the contributions to lattice thermal conductivity of different phonon wavelengths, indicating that about 80% of the thermal conductivity is attributed to phonons with wavelength less than 6 nm. Due to the limited number of discretized  $\bar{k}$  points, the accumulative lattice thermal conductivity in Fig. 6(b) does not have the smoothness as that in Ref. [2]. The results shown in Fig. 6 provide new insight to the size dependence of thermal conductivity in nanostructured Bi<sub>2</sub>Te<sub>3</sub>. In particular, since the maximum phonon mean free path in nanostructures is approximately equal to or less than the characteristic dimension size (thickness for thin film, diameter for nanowires and nanoparticles), Bi<sub>2</sub>Te<sub>3</sub> nanostructures with a sub-10 nm characteristic size are needed to achieve significant reduction of lattice thermal conductivity in order for enhanced ZT. For example, Venkatasubramanian [20] has shown the minimum lattice thermal conductivity in Bi<sub>2</sub>Te<sub>3</sub>/Sb<sub>2</sub>Te<sub>3</sub> superlattice with periods between 4 and 6 nm, which are comparable to the wavelengths of these dominant phonons. It should be noted that many early measurements have shown a few times to an order of magnitude reduction in thermal conductivity in 20-100 nm nanostructures, such as in Ref. [21]. In light of our simulation data, those low values are unlikely due to the size effect alone. Instead, point defects, impurities, grain boundaries (in polycrystal nanostructures), and nonuniform composition might be responsible. Therefore, one should be cautious in dealing with nanostructures for the search of ZT enhancement. On the other hand, a recent experimental measurement on a 52-nm Bi<sub>2</sub>Te<sub>3</sub> nanowire [22] and an MD simulation on a 30-nm Bi<sub>2</sub>Te<sub>3</sub> nanowire [23] both show little reduction in thermal conductivity, which are consistent with our results here.

#### 4 Summary

We adopted time-domain and frequency-domain normal-mode analyses to calculate phonon dispersion relation and phonon relaxation times in bismuth telluride. Phonon velocities were extracted from the gradients of phonon dispersion, which was calculated with TD-NMA. Lifetimes of the  $A_{\rm lg}$  optical phonon at the  $\Gamma$  point predicted by TD-NMA and FD-NMA agree with the experimental

value, and the lifetimes of acoustic phonons are consistent with the experimental observation of the 125 nm-wavelength longitudinal acoustic phonon. By combining the frequency-dependent anharmonic phonon group velocities and lifetimes, mode-wise thermal conductivities are predicted to reveal the contributions of heat carriers with respect to phonon mean free path and wavelength. It is found that over 80% of the lattice thermal conductivity is contributed by phonons with mean free path below 10 nm, indicating that Bi<sub>2</sub>Te<sub>3</sub> nanostructures with sub-10 nm feature size are needed to achieve significant size effect in lattice thermal conductivity.

#### Acknowledgment

Support to this work by the National Science Foundation and the Air Force Office of Scientific Research is gratefully acknowledged.

#### Nomenclature

 $\mathbf{A}_i = \text{length vector of the MD domain in direction } i$   $C_v = \text{mode-wise volumetric heat capacity, m}^2 \text{ kg s}^{-2} \text{ K}^{-1}$  $C(\alpha, k) = \text{coefficients for the phonon spectral peak intensity, eV/Hz}$ 

 $D_{\rm e} = {
m depth} \ {
m of} \ {
m potential} \ {
m well}, {
m eV}$ 

 $E_j = \text{mode-wise total energy, eV}$ 

 $\hat{d}$  = atomic displacement from their equilibrium position, Å

f = phonon frequency, THz

 $k = \text{wave vector, m}^{-1}$ 

q = effective charge

 $r_{ij}$  = interatomic distance, Å

 $r^0$  = equilibrium position of atom, Å

 $r_{\rm c} = {\rm cut}$ -off distance of Wolf's summation, Å

 $\tilde{S}$  = normal-mode amplitude, Å

U = interatomic potential, eV

 $\alpha$  = mode polarization (LA, TA, LO, and TO)

 $\Gamma$  = phonon spectral line width, ps<sup>-1</sup>

 $\sigma$  = bond elasticity of interatomic potential, Å<sup>-1</sup>

 $\bar{\epsilon}$  = polarization vector associated with the direction of motions, unit vector

 $K_{\rm L}$  = total thermal conductivity, W/mK

 $\kappa_i$  = mode-wise thermal conductivity, W/mK

 $v_g$  = phonon velocity, m/s

 $\tau = \text{phonon relaxation time, ps}$ 

 $\psi = \text{spectral energy density, eV/Hz}$ 

 $\omega$  = angular phonon frequency, rad/s

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